

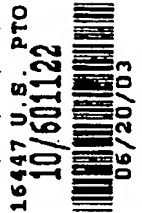
Application Transmittal
PATENT

UTILITY PATENT
APPLICATION
TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No.
First Named Inventor
Express Mail Label No.
Total Pages

004344-294NP
Ronald Rudkin
EL 889409847 US
3



BY EXPRESS MAIL – Label No. EL 889409847 US

Mail Stop Patent Application
Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

Enclosed herewith for filing is a patent application of Ronald Rudkin entitled "SYSTEM AND METHOD FOR DETERMINING THE VALUE AND OPTIMAL EXERCISE OF EMPLOYEE STOCK OPTIONS" which includes:

<input checked="" type="checkbox"/> Specification	<input type="checkbox"/> [29] Total Pages
<input checked="" type="checkbox"/> Claims	<input type="checkbox"/> [2] Total Pages
<input checked="" type="checkbox"/> Abstract	<input type="checkbox"/> [1] Total Pages
<input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> formal <input checked="" type="checkbox"/> informal	<input type="checkbox"/> [3] Total Sheets

☐ Combined Declaration and Power of Attorney ☐ Total Pages
 ☐ Newly executed (original or copy)
 ☐ Copy from a prior application (for continuation/divisional only – must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional
☐ Continuation-In-Part of prior application No.

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part of copending
application Serial No. _____ filed _____"

☐ An Assignment of the invention to

☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.11 is included.
☐ will follow.
☐ has been filed in the prior application

☒ Small Entity Status is hereby claimed

☐ Information Disclosure Statement (IDS) PTO-1449

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Attorney Docket No. 004344-294NP

☐ Copies of IDS Citations

☐ Request and Certification for Non-publication under 35 U.S.C. 122(b)(2)(B)(i) **ENCLOSED.**

☐ Request to Rescind previous Non-publication Request under 35 U.S.C. 122(b)(2)(B)(ii) **ENCLOSED.**

☐ Request for Redacted Publication under 35 U.S.C. 122(b)(2)(B)(v) and the Redacted Publication **ENCLOSED.**

☐ Request for Early Publication under 35 U.S.C. 122(b)(1)(A) **ENCLOSED.**

☐ Preliminary Amendment

☒ Return Receipt Postcard

☒ Other; Certificate of Express Mailing

☐ Cancel in this application original claims of the prior application before calculating the filing fee.

The Fee has been calculated as shown below:

FOR	(Col. 1) No. Filed				(Col. 2) No. Extra				Small Entity		OR	Other than a small entity				
									Rate	Fee		Rate	Fee			
Basic Fee									375.00		750.00					
Total Claims	1	-	20	=	0	X	9	=	.00	X	18	=	.00			
Ind. Claims	1	-	3	=	0	X	42	=	.00	X	84	=	.00			
Multiple Dependent Claim						+	140	=	.00	+	280	=	.00			
TOTAL									375.00				0.00			

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

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Fee payment being made:

<input type="checkbox"/> Enclosed		<input checked="" type="checkbox"/> Charge to Deposit Account No. 06-0923	
	<input checked="" type="checkbox"/> Filing Fee	\$	375.00
	<input type="checkbox"/> Recording Assignment : 37 CFR 1.21(h)	\$.00
	<input type="checkbox"/> Publication Fees	\$	0.00
Total Fees:			375.00
<input type="checkbox"/> A check in the amount of \$0.00 to cover filing fee and assignment recordation fee is enclosed.			


Priority

- ☐ Priority of application Country , Appln. No. , filed
- ☐ Certified Copy of Priority Document Country , Appln. No. , filed
\$
☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17 and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 06-0923. Two copies of this sheet are enclosed.

Dated: June 20, 2003

GOODWIN PROCTER LLP
599 Lexington Avenue
New York, NY 10022

BY:


William C. Hwang

Enclosures

PTO Registration No.: 36,169